**DOCKET NO. 25748.4** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Milward, et al.

Assignee: Innogy PLC

Serial No.: 09/752,845

Group Art Unit: 2176

Filed: 12/28/2000

Examiner: Unknown

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COMPUTER IMPLEMENTED For:

METHOD FOR REFORMATTING LOGICALLY COMPLEX CLAUSES IN AN ELECTRONIC TEXT-BASED

**DOCUMENT** 

LARGE ENTITY STATUS CLAIMED

Commissioner for Patents Washington, DC 20231

## SUBMISSION OF FEES PURSUANT TO 37 C.F.R. § 1.28(c)

Dear Sir:

Applicants request the above-identified application be withdrawn from erroneously claimed SMALL ENTITY STATUS.

The subject Application was originally filed on December 28, 2000 as a large entity, but erroneously indicated small entity status on the Utility Patent Application Transmittal (copy enclosed). Applicant is a large entity and a check (copy of cancelled check enclosed) for the filing fee of \$710.00 was submitted at the time of the original

filing. However, we received a credit on your Monthly Statement of Deposit Account, 10/05/2001 AUDIDAF1 00000025 081394 09/52845

dated February 28, 2001, in the amount of \$355.00.

date: 10/05/2001 AMONDAÉ1 NLUANG 0000007 09752845 2001 KLUANG

60.00 CH

130.00 DP 860.00 DP

Therefore, two checks are enclosed, one in the amount of \$355.00 covering the \$355.00 credit made to our deposit account and the other for \$510.00 for the remaining fees for Response to Missing Parts (a copy of the original transmittal is attached). The Commissioner is hereby authorized to credit any overpayment or charge any deficiencies in fees to the Deposit Account of Haynes and Boone, LLP 08-1394. **This** form is submitted in duplicate.

As information only, enclosed also is a copy of the Request to Correct Filing Receipt and Updated Filing Receipt sent under separate cover to the Office of Initial Patent Examination's Customer Service Center.

Respectfully submitted,

David L. McCombs

Registration No. 32,271

Date: \_ 21 SEPT 01

HAYNES AND BOONE, L.L.P. 901 Main Street, Suite 3100 Dallas, Texas 75202-3789

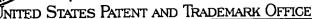
Telephone: 214/651-5533 Facsimile: 214/651-5940

File: 25748.4

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231

d-940182.1Submission of Fees for Large Entity Status Cla.wpd





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspio.gov

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/752,845	12/28/2000	2176	420	25748.4	2	12	1

**CONFIRMATION NO. 1023** 

RECEIVED

**UPDATED FILING RECEIPT** 

David L. McCombs
Haynes and Boone, LLP
Suite 3100
901 Main Street

Dallas, TX 75202

SEP 1 0 2001

HAYNES & BOONE L.L.P.

\*OC000000006531239\*

Date Mailed: 09/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

OCT 0 5 2001

David R. Milward, Cambridge, UNITED KINGDOM; Robert G. Corbin, Chippenham, UNITED KINGDOM; Stephen G. Pulman, Thriplow, UNITED KINGDOM;

OFFICE OF PETITIONS

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/22/2001

Projected Publication Date: 07/04/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

CODE	DATE	ACTION
105	3.28.01	PREPARE IDS
		PRET. FOREIGN FILING CLIENT LETTER

	MP.	_	<i>1</i>	1
BY:	TRB	9	14	01

Title

Computer implemented method for reformatting logically complex clauses in an electronic text-based document

**Preliminary Class** 

OIP E

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

In re application of:
Milward et al.

Serial No.:

09/752,845

Filed:

December 28, 2000

For:

COMPUTER IMPLEMENTED METHOD

FOR REFORMATTING LOGICALLY

COMPLEX CLAUSES IN AN ELECTRONIC

TEXT-BASED DOCUMENT

Art Unit: 2176

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Examiner: Unknown

SMALL ENTITY STATUS CLAIMED

## RESPONSE AND TRANSMITTAL OF MISSING PARTS OF APPLICATION RECEIVED

OCT 0 5 2001

Commissioner of Patents Washington, D. C. 20231

ATTENTION: BOX MISSING PARTS

OFFICE OF PETITIONS

Dear Sir:

In response to the Notice to File Missing Parts of NonProvisional Application Filing Date Granted mailed February 23, 2001, regarding the above-identified application, Applicant submits the following:

- Return copy of the Notice to File Missing Parts dated February 23, 2001;
- Executed Declaration/Power of Attorney (3 sheets);
- 3. Petition for Three-Month Extension of Time (in triplicate);
- 4. A check in the amount of \$510.00 (\$65.00 for payment of the surcharge fee and \$445.00 for three-month extension fee);
- 5. Letter to the Official Draftsperson and two (2) sheets of formal drawings; and
- 6. Return postcard

The Commissioner is hereby authorized to charge any further fees associated with this communication or to credit any overpayment to Deposit Account No. 08-1394. This transmittal letter is submitted in duplicate.

Respectfully submitted,

David L. McCombs

Registration No. 32,271

HAYNES AND BOONE, LLP 901 Main Street, Suite 3100 Dallas, Texas 75202-3789

Phone: 214/651-5533 Fax: 214/651-5940

File: 25748.4 d-915984.1 I hereby certify that inis correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

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1202600 12/28/2000

"ETEROPE 000 1888083 13"

PATENT APPLICATION TRANSMITTAL  (Only for new nonprovisional applications under 37 CFR 1.53(b))  APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.  See MPEP chapter 600 concerning utility patent application contents.  See MPEP chapter 600 concerning utility patent application contents.  See 37 CFR 1.27.  Applicant claims small entity status.  See 37 CFR 1.27.  Specification Cross Reference to Related Applications Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, or a computer program listing appendix Background of the Invention Brief Description of the Drawings (if filed) Detailed Description Claim(s) Abstract of the Disclosure  Attorney Box Milward, et al.  Computer Implemented Method for Reformattir Title Complex Clauses in an Electronic Text-Based of Computer Sections Text-Based of Computer Sections Text-Based of Computer Section Assistant Commissioner for Pate ADDRESS TO: Box Patent Application Washington, DC 20231  Assistant Commissioner for Pate ADDRESS TO: Box Patent Application Washington, DC 20231  7.		One of the a collection of information unit it displays a valid OMB control number of the control number of th
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(Only for new nonprovisional applications under 37 CFR 1.53(b))  APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.  See MPEP chapter 600 concerning utility patent application contents.  The Transmittal Form (e.g., PTO/SB/17)  Express Main Labert 70:  Assistant Commissioner for Path Box Patent Application Washington, DC 20231  7.	TRANSMITTAL	EL 440E0740411S
APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.  The Fee Transmittal Form (e.g., PTO/SB/17) (Consider a original and application processing)  Applicant claims small entity status.  See 37 CFR 1.27.  X Specification (Includers a famous and application status)  Descriptive title of the invention (Includers and application)  - Descriptive title of the invention (Includers and application)  - Reference to sequence listing, a table, or a computer program istain papendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Summary of the Invention  - Brief Summary of the Invention  - Detailed Description  - Detailed Description  - Detailed Description  - Abstract of the Disclosure  4. X Drawing(s) (35 U.S.C. 113) [ Total Sheets [S.]]  5. Oath or Declaration  - Newly executed (original or copy)  - Newly executed (original or copy)  - Department attached deleting inventors)  - named in the prior application, see 37 CFR 1.53 (dg) and 1.33(b);  - Signed statement attached deleting inventors)  - named in the prior application, see 37 CFR 1.76  - Application Data Sheet. See 37 CFR 1.76  - Ocinituation   Divisional   Constituation   Divisional   Constituation   Divisional   Constituation   Divisional   Constituation   Divisional   Constituation   Divisional application and is hereby incorporated by reference   Correspondence address below or   Correspondence address below   Correspon	(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No.
See MPEP chapter 600 concerning diffly patent application to unions.   Total Pages   Transmittal Form (e.g. PTO/SB/17)   Computer Program (Appendix)   Computer Program (Appendix)   See 37 CFR 1.27.   Specification (Total Pages   36   Descriptive title of the invention	APPLICATION ELEMENTS	ADDRESS TO: Box Patent Application  Nachington, DC 20231
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Specification Descriptive title of the invention Cross Reference to Related Applications Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, or a computer program listing appendix Background of the Invention Brief Description of the Drawings (if filed) Detailed Description Claim(s) Abstract of the Disclosure  4. X Drawing(s) (35 U.S.C. 113) [ Total Sheets 5] Drawing(s) (35 U.S.C. 113) [ Total Sheets 5] Newty executed (original or copy) Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Detailed Description Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Detailed Description Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Detailed Description Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Detailed Description Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Copy from a prior application (37 CFR 1.63 (d)) Detailed Description Copy from a prior application (37 CFR 1.63 (d)) Copy from a prior application (37 CFR 1.64 (d)) Copy from a prior application (37 CFR 1.65 (d)) Copy from a prior application (37 CFR 1.76 (d)) Copy from a prior application Detailed Description Continuation Detailed Copy of Priority Document(s) Copy of Priority Decument(s) Copy of Priority	1. (Submit an original and a duplicate for fee processing)	Computer Program (Appendix)
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- Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.	- Reference to sequence listing, a table,	i i U paper
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4. X Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ] 11. English Translation Document (if applicable)  5. Oath or Declaration [ Total Pages 3 ] 12. Information Disclosure Statement (IDS)/PTO-1449 Citations  a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) c. (for continuation/divisional with Box 17 completed) c. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet See 37 CFR 1.76  or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation Divisional Continuation Divisional Continuation Divisional Divisional Continuation Divisional Continuation See 37 CFR 1.76: Prior application information: Examiner Group   Art Unit: Prior application or divisional application, from which an oath or declaration is supplied upon when a portion has been inadvertently omitted from the submitted application parts.  18. CORRESPONDENCE ADDRESS	- Claim(s)	3/ CFR 3.73(b) Statement
4. X Drawing(s) (35 U.S.C. 113) [ Total Sheets 5. ]		English Translation Document (if applicable)
5. Oath or Declaration [Total Pages 3]   12. Statement (IDS)/PTO-1449   Citations a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) copy from a prior application (37 CFR 1.63 (d)) b. Operation of the prior application (37 CFR 1.63 (d)) copy from a prior application (37 CFR 1.63 (d)) copy from a prior application (37 CFR 1.63 (d)) copy from a prior application of the prior application (Should be specifically itemized) copy from a prior application of the prior application (Should be specifically itemized) copy from a prior application, see 37 CFR continuation Data Sheet See 37 CFR 1.76 continuation Data Sheet under 37 CFR 1.76: Continuation Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) continuation information:  Examiner Group I Art Unit: Continuation and is hereby incorporated by refere the incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  18. CORRESPONDENCE ADDRESS	4. (A) Branning(0) (1-1-1-1)	Information Disclosure Copies of
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1.63(d)(2) and 1.33(b).  Application Data Sheet. See 37 CFR 1.76  17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amend or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.:  Prior application information: Examiner Group I Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied upon Sb, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and is hereby incorporated by reference to the prior application and in the prior application and i	Signed statement attached deleting inventor(s)	1.0
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or in an Application Data Sheet under 37 CPR 1.70.  Continuation Divisional Continuation-in-part (CIP) of prior application No.:  Prior application information: Examiner Group I Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference to the incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  18. CORRESPONDENCE ADDRESS  Or Correspondence address below	6. Application Data Sheet. See 37 CFR 1.76	ii i for a helow and in a preliminary amendi
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